

# Weaning and Extubation in Pediatrics

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**Abstract:** Mechanical ventilation is a life-supporting intervention that is used for a significant number of patients in ICUs. The current pediatric literature shows that the science of ventilator weaning and extubation remains undetermined. No optimal weaning method has been described for a more rapid and successful extubation. Protocol-based approaches to weaning may have potential benefits in advancing readiness to extubation, but no significant outcome differences have been found to date. The analysis of clinical markers of extubation success has not revealed any specific physiologic predictor of extubation success in children. However, a daily trial of readiness to extubate is the most effective technique to determine likelihood of success. Extubation failure rates range from 16% to 20% and bear little relation to the duration of mechanical ventilation. Upper airway obstruction is the primary cause of extubation failure in most pediatric studies. Therefore, efforts to decrease airway edema before extubation should be considered. Corticosteroids seem to be beneficial for infants and children, but definitive evidence of their efficacy is lacking.

**Keywords:** Extubation, mechanical ventilation, respiratory support, weaning, pediatrics.

## INTRODUCTION

Mechanical ventilation (MV) is often used to treat severely ill children in pediatric (PICU) and neonatal (NICU) intensive care units. The ideal time for MV discontinuation is usually defined by clinical and laboratory parameters available at the time when a decision is made about weaning or extubation [1]. These measurements usually indicate the capacity of the infant or child to keep spontaneous ventilation and adequate gas exchanges.

MV weaning or discontinuation is the total cessation of ventilatory support, whereas extubation is the removal of the endotracheal tube (artificial airway) [2]. The ideal time to interrupt MV and for extubation should not be determined by clinical impression alone. The decision to submit the patient to these two procedures depends on multiple factors, such as [3, 4]: neuromuscular conduction, which may be affected by sedation; ventilatory muscle strength, which may be affected by prolonged MV; endurance of ventilatory muscles; ventilatory changes, such as hyperthermia, excessive offer of carbon hydrate, and increase of the physiological dead space; and changes in ventilatory mechanics, which depends on the pulmonary elastic recoil and airway resistance.

Failure in MV weaning may be assigned to the unbalance between the load to ventilatory muscles and their competence to support this load, or to an inadequate energy supply to respond to the demands of these muscles.

The complexity of the decision about extubation provides solid reasons for the development of accurate predictors to evaluate success and failure. Extubation failure rates are based on clinical criteria and range from 17% to 19% in adults [5], from 22% to 28% in premature infants [6], and

from 16% to 20% in pediatric patients [7, 8]. Early extubation exposes the patient to the risks of reintubation as an emergency procedure. However, the unnecessary prolonged use of MV increases the risk of airway trauma, nosocomial infection and discomfort, as well as hospital costs [3].

Several indices to measure oxygenation, such as maximal expiratory pressure (PE<sub>max</sub>), lung function, minute ventilation (V<sub>E</sub>) and breathing reserve, have been suggested as useful predictors of weaning outcomes and of extubation success or failure in infants and children [4, 9]. Some of the indices commonly used in PICUs are the ratio of respiratory rate (RR) to tidal volume (V<sub>T</sub>) adjusted to weight (rapid shallow breathing index (RSBI), lung compliance, rate, oxygenation, pressure index (CROP index) and the spontaneous breathing trial (SBT) [3, 10]. However, objective criteria to predict extubation success or failure in pediatrics have not been established. These predictors do not seem to be sensitive or specific enough to predict extubation success or failure in pediatrics and neonatology [4]. Studies with adults [11, 12] and infants [13] used integrated indices, such as ventilatory drive, respiratory muscle load, respiratory muscle strength, and the quality of gas exchange.

## 1. Definitions

*Weaning* – transition from mechanical to assisted or spontaneous ventilation in patients on invasive MV for longer than 24 hours [14].

*MV discontinuation* – discontinuation of ventilatory support in patients that tolerate the SBT and that may be eligible for extubation [3].

*Spontaneous breathing trial- SBT* (a method to discontinue MV) – the patients is allowed to breath spontaneously through an endotracheal tube connected to a T-piece and an oxygen source. The patient receives continuous positive airway pressure (CPAP) of 5 cmH<sub>2</sub>O, or

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pressure support ventilation (PSV) of 7 cmH<sub>2</sub>O [15, 16]. In pediatrics, PSV will depend on the diameter of the endotracheal tube.

**Extubation and decannulation** – Extubation is the removal of the artificial airway. In the case of patients that underwent tracheotomy, the word decannulation is used [17]. The need to reestablish an artificial airway is called reintubation or extubation failure. Early reintubation is the procedure that occurs less than 48 hours after extubation or decannulation [18].

**Weaning success or failure** – Successful ventilation weaning is defined as the maintenance of spontaneous ventilation for at least 48 hours after the interruption of MV. Weaning fails or is unsuccessful when mechanical ventilation is required within 48 hours of extubation [18].

**MV discontinuation success or failure** – MV discontinuation is defined as successful when the patient tolerates the SBT. These patients should be evaluated for the indication to remove the artificial airway (extubation) [19]. When the patient fails to SBT, MV discontinuation is unsuccessful.

**Prolonged MV** – It is defined when the patient depends on invasive or noninvasive ventilatory (NIV) support for longer than six hours per day for longer than one week, despite respiratory therapy programs, correction of functional disorders and use of new techniques for ventilatory support [17].

## 2. Weaning

To consider the beginning of the MV weaning process, the disease that caused or contributed to the ventilation decompensation should be resolved or on the way to resolution. The patient should be hemodynamically stable, which is indicated by good tissue perfusion, regardless of the use of vasopressor agents (low and stable doses are accepted), and no decompensated cardiac insufficiency or arrhythmias with hemodynamic instability. Moreover, gas exchanges should be within acceptable parameters (partial pressure of oxygen [PaO<sub>2</sub>] ≥ 60 mmHg, fraction of inspired oxygen [FiO<sub>2</sub>] ≤ 0.40%, and positive end-expiratory pressure [PEEP] ≤ 5 to 8 cmH<sub>2</sub>O), and the patient should be able to initiate the inspiratory effort [2].

If the SBT is successful, other factors should be taken into consideration before extubation, such as mental state [17, 20] and sedation [21], degree of patient collaboration and the capacity to eliminate secretions from the airways.

To keep spontaneous breathing, inspiratory muscles should generate a force greater than lung and chest wall elastance (elastance load of lungs and chest wall), as well as airway and tissue resistance (resistive load). This demands adequate functioning of major ventilatory muscles, anatomical and functional integrity of the central and peripheral nervous system, preserved neuromuscular transmission, intact chest wall, and adequate muscle strength. This balance is usually polarized towards neuromuscular competence; in other words, there is a reserve that allows a considerable increase of the load offered to ventilatory muscles [22]. However, if competence is reduced to below a critical point, for example, myasthenia gravis or

drug intoxication, the balance may lean towards the load, so that the ventilatory pump cannot insufflate the lung and expand the chest wall [5]. The capacity of the ventilatory muscles to bear this load without apparent fatigue is called endurance and is determined by the balance between energy supply and demand. Under normal conditions, energy supply is adequate to meet these needs, and there is a large reserve. However, other factors, such as those associated with the increase of the resistive (bronchospasms, airway edema, airway secretion) and elastic (infection, atelectasis, hyperinflation), pulmonary and thoracic loads (pneumothorax, obesity, abdominal distension), as well as factors that decrease neuromuscular competence (decrease in respiratory drive, muscle weakness, changes in neuromuscular transmission), may also determine MV weaning and extubation failures both in adult and pediatric or neonatal patients [7, 18, 23].

## 3. Spontaneous Breathing Trial

Several studies [3, 10, 14, 15, 18, 19, 24] showed that a 30-min to 2-hour SBT is useful to select patients ready for extubation. MV may be disconnected while supplemental oxygen is offered to keep the rate of arterial blood oxygen saturation (SaO<sub>2</sub>) above 90%. Oxygen supplementation should be offered when FiO<sub>2</sub> is up to 40%, and should not be increased during MV discontinuation. Other methods can also be used during SBT: biphasic positive airway pressure (BIPAP), automatic tube compensation (ATC) or proportional assist ventilation (PAV). The results of these methods are similar to those obtained when using the T-piece and PSV for the SBT [16, 25, 26].

Continuous bedside monitoring during the SBT is fundamental to detect early signs of intolerance and mechanisms of ventilatory failure (Table 1). When any sign of intolerance is detected, the SBT should be interrupted and ventilation with the previously adopted parameters should be resumed. Those patients that do not have any signs of intolerance to the test should be examined to assess the possibility of extubation, and should be observed (monitored) for 48 hours in the ICU. If ventilatory autonomy to maintained for 48 hours means that the extubation was successful [18, 27].

**Table 1. Objective Parameters and Subjective Clinical Assessment to be Considered During Spontaneous Breathing Trial**

Objective Parameters	Subjective Clinical Evaluation
<ul style="list-style-type: none"> <li>Adequate gas exchange</li> <li>Hemodynamic stability</li> <li>Stable ventilatory status</li> </ul>	<ul style="list-style-type: none"> <li>Changes in mental state</li> <li>Progression or deterioration of ventilatory distress</li> <li>Signs of increased work of breathing</li> </ul>

## 4. Management of the Patient that Does Not Tolerate the SBT

### 4.1. Ventilatory Muscle Rest

Patients that fail the first SBT should receive MV again and remain at least 24 hours using a ventilation mode that makes them comfortable according to the clinical evaluation.



**Table 3. Criteria for Decision to Extubate in Pediatrics**

Authors	Ventilatory Criteria	General Criteria
Schultz <i>et al.</i> , 2001	<ul style="list-style-type: none"> <li>• FiO<sub>2</sub> = 60% ( for SaO<sub>2</sub> 90-92%)</li> <li>• PEEP = 5 cmH<sub>2</sub>O</li> <li>• RR= 1.5 of predicted</li> <li>• Expiratory V<sub>T</sub> &lt; 2x predicted V<sub>T</sub></li> <li>• pH = 7.25</li> </ul>	<ul style="list-style-type: none"> <li>• No general criteria</li> </ul>
Durand <i>et al.</i> , 2001	<ul style="list-style-type: none"> <li>• FiO<sub>2</sub> = 40% or PaO<sub>2</sub>/ FiO<sub>2</sub> &gt; 150</li> <li>• PEEP = 5 cmH<sub>2</sub>O</li> <li>• PIP = 30 cmH<sub>2</sub>O</li> </ul>	<ul style="list-style-type: none"> <li>• No vasoactive agent</li> <li>• Adequate mental state</li> <li>• No sedation, no fever</li> <li>• Correction of metabolic changes</li> <li>• Baseline disease under control</li> </ul>
Farias <i>et al.</i> , 2002	<ul style="list-style-type: none"> <li>• FiO<sub>2</sub> = 40% and PaO<sub>2</sub> &gt; 60 mmHg</li> <li>• PEEP = 5 cmH<sub>2</sub>O</li> </ul>	<ul style="list-style-type: none"> <li>• No vasoactive agent</li> <li>• Adequate mental state</li> <li>• No sedation, temperature &lt; 38.5°C</li> <li>• Hemoglobin &gt;10g/dL</li> <li>• Baseline disease under control</li> </ul>
Randolph <i>et al.</i> , 2002	<ul style="list-style-type: none"> <li>• FiO<sub>2</sub> = 40% and SaO<sub>2</sub> = 95%</li> <li>• PEEP = 7cmH<sub>2</sub>O</li> <li>• pH = 7.32-7.47</li> <li>• Spontaneous breathing</li> <li>• Efficient cough</li> <li>• No changes in mechanical ventilation parameter in 24 h</li> </ul>	<ul style="list-style-type: none"> <li>• No vasoactive agent</li> <li>• Adequate mental state</li> <li>• No sedation, temperature &lt; 38.5°C</li> <li>• Hemoglobin &gt;10g/dL</li> <li>• Baseline disease under control</li> <li>• No surgery in the next 24 h</li> </ul>

decrease morbidity, weaning protocols have been implemented in adult ICU. A number of studies with adults demonstrated that implementation of a standardized ventilator weaning protocol shortens duration of MV without adversely affecting patient care [35-38].

Results from pediatric trials implementing ventilator weaning protocols are less promising. In a large multicenter study conducted by the PALISI investigators, no difference was found between groups randomized to either physician directed PSV weaning, automated ventilator-adjusted volume support protocol, or no protocol [29]. In addition to evaluating the impact of weaning protocols on the duration of MV, their study also examined the performance of a set of extubation readiness criteria and the relationship between sedative use and respiratory outcomes. The study was stopped because of lack of apparent differences between the three groups after 182 children were recruited (extubation failure rate was 19% with extubation readiness criteria vs 17% using physician judgment). Increased sedative use during the first 24 hours of weaning was an important predictor of weaning duration ( $P < .001$ ) and weaning failure ( $P = .04$ ) in that study. The limited adherence to protocol (66% compliance) reported in that study may have reduced the likelihood of detecting a possible beneficial effect. Schultz *et al.* [30] demonstrated shorter weaning times when they randomized pediatric patients to protocol-directed MV weaning versus physician-directed weaning, but, surprisingly, changes in total ventilator time and time to extubation were not statistically significant (10 versus 0.8 h). Restrepo *et al.* [38] also retrospectively reported shorter weaning times and shorter time to spontaneous modes of ventilation in the protocol group as compared to traditional

physician-directed weaning, but there was again no difference in overall ventilatory duration.

Recently, a weaning protocol integrated into a ventilator in closed loop was adapted for the weaning process [39, 40]. A multicenter randomized trial that included 144 adults and comparing a closed-loop protocol to a local weaning protocol found a significant reduction of 4.5 days in total mechanical ventilation duration [41]. However, a subsequent single-center study using the same computerized system was unable to confirm the superiority of this approach [42]. A pilot study conducted in pediatric patients showed that the computerized decision making seems reliable in a small population [43]. The authors showed that ventilation duration decreased from  $5.1 \pm 4.2$  days in the closed-loop group to  $6.7 \pm 11.5$  days in the clinician decision group ( $p = 0.33$ ). The closed-loop protocol is designed to accelerate discontinuation of mechanical ventilation by modifying the pressure support level more frequently than a physician does [43]. It closely adjusts the level of pressure support by assessing its effects on the child's breathing pattern. The closed-loop protocol uses three variables to automatically control the level of assistance: respiratory rate, V<sub>T</sub>, and partial end-tidal CO<sub>2</sub> pressure (PetCO<sub>2</sub>). Respiratory rate, which seems to reflect how well the respiratory muscles adapt to the workload, is the main variable used. V<sub>T</sub> and PetCO<sub>2</sub> are additional variables used to improve safety [43]. Further studies are required to assess the impact of this novel therapeutic strategy on the length of mechanical ventilation.

In an attempt to circumvent barriers to the effective use of protocols, some have implemented physician-independent treatment algorithms run by nurses and respiratory therapists. Current evidence suggests that respiratory therapist-driven

protocols for ventilator management and weaning results in shorter duration of mechanical ventilation compared with traditional physician-directed weaning, reduced costs, and improved resource allocation. In one study, respiratory therapist-driven protocols in patients requiring prolonged mechanical ventilation reduced median weaning time by almost 12 days [44]. Ely *et al.* [35] randomized 300 mechanically ventilated medical patients to either standard care or an intervention strategy that combined readiness testing with a daily screen. The intervention strategy resulted in significant decrease in weaning time, duration of mechanical ventilation, complication rate, and ICU costs; no differences were noted in ICU or hospital length of stay, hospital costs, or mortality. Two randomized clinical trials in medical and surgical ICUs found that a protocol directed by a respiratory care practitioner-ICU nurse also shortened mechanical ventilation duration [36, 37]. The superior outcomes obtained with nonphysician run protocols for mechanical ventilation has led a collective task force of pulmonary and critical care experts, facilitated by the American College of Chest Physicians (ACCP), the American Association for Respiratory Care, and the American College of Critical Care Medicine, to recommend that ICUs develop and implement respiratory-care protocols designed for nonphysician health care professionals [2, 35]. In a neonatal population study, Hermeto *et al.* [45] showed the implementation of a registered respiratory therapist-driven protocol had a positive impact on ventilatory outcomes of premature infants, without adverse effects. The major findings were shortening of time for the first extubation attempt, decreased rate of failure extubation (from 40% to 20%), and decreased duration under MV (from 5.0 to 1.2 days).

The success of non-invasive ventilation (NIV) for acute respiratory failure and its recent successful application in facilitating weaning has led to renewed interest in application to prevent extubation failure. Post-extubation NIV can be used in three ways: (1) as an adjunct to weaning patients from CMV by early extubation directly to NIV, (2) as a preventive application of NIV to higher-risk patients who were extubated at the time they fulfilled standard extubation criteria; or (3) as a curative or rescue application of NIV to patients who develop acute respiratory failure after having been extubated according to standard criteria. Two recently published randomized clinical trials indicate that immediate postextubation application of NIV in adults at highest risk for extubation failure is effective in preventing reintubation and may reduce mortality [46, 47]. Pediatric studies about NIV use after extubation in post-cardiac surgery patients [48, 49] showed that NIV was effective and safe in this population. Recently, Mayordomo-Colunga *et al.* [50] found that post-extubation NIV seems to be useful in avoiding reintubation in high-risk children when applied immediately after extubation. NIV was more likely to fail when acute respiratory failure has already developed, when respiratory rate at 6 hours did not decrease and if oxygen requirements increased.

Observational and randomized trials demonstrate that protocols directed at minimizing the use of sedative infusions shorten the weaning process. Specifically, approaches intended to avoid oversedation by limiting the use of continuous infusions either through sedation

assessment scoring [51, 52] or by daily cessation of sedative infusions [53] decrease duration of mechanical ventilation and duration of ICU stay. Daily sedation interruption (DSI) has been proposed as an adjunct to titrating continuous sedative infusions to a defined sedation score or level [53]. When using DSI continuous sedative infusions are interrupted each day and patients are allowed to wake up. Patients can then be assessed for neurological recovery and readiness for extubation, or re-sedated if required. Girard *et al.* [54] recently published the results of a trial that employed a 'wake up and breathe' strategy in adults. Patients randomized to a daily awakening trial followed by an SBT (versus SBT alone) experienced increased free-time ventilator, decreased time in coma, decreased ICU and hospital length of stay, and improved survival at 1 year.

Although DSI appears to be a simple and cost-efficient technique, there are risks to the patient. These risks include rebound agitation, pain, self-extubation or removal of invasive lines and possible adverse psychological sequelae (such as depression and post-traumatic stress disorder) related to the patient's increased awareness of the ICU environment and their own life-threatening situation [55]. Despite the acknowledged risks, DSI has been incorporated into the Society of Critical Care Medicine sedation practice guidelines [56] and, more recently, into the 'ICU Care Bundles' to prevent ventilator-associated pneumonia [57]. There is little evidence on use of sedation protocols in the pediatric intensive care (PICU). One study evaluated a sedation and analgesic protocol in 10 PICU patients [58]. Patients received more sedation while on the protocol and the nurses found the protocol easy to use. A recent COMFORT scale study in PICU patients showed significant decreases of duration of mechanical ventilation and doses of sedatives in 21 patients managed with the use of a sedation protocol as compared with controls [59]. There is at present no validated instrument to diagnose and monitor pediatric delirium in the ICU setting by nonpsychiatrically trained clinicians. The lack of age-appropriate diagnostic tools in children results in a knowledge deficit regarding the incidence, clinical presentation, response to treatment, and consequence of pediatric delirium in the ICU.

Potential extubation failure should also be considered when evaluating MV weaning. Many studies have described extubation practices in pediatrics, most of them conducted in a single PICU [7-9, 32, 45, 60-66]. Only two were conducted in multiple centers [29, 67]. Most studies reporting clinical outcomes suggest that a failed extubation rate < 10% is the norm, as supported by the study conducted by Kurachek [7, 67]. Higher rates of failed extubation are reported in studies that used a readiness trial - about 14%-20% according to Randolph [29]. These differences may be, in part, caused by the inclusion of patients extubated in less than 24-48 h. Fontela *et al.* [68] found that younger age, mean oxygenation index ( $OI > 5$ ), use of inotropic agents and duration of MV (> 15 days) were variables associated with an increased risk of extubation failure. The authors also suggested that prolonged treatment with sedative and analgesic drugs during MV might contribute significantly to the extubation failure rate [68]. Baisch *et al.* [64] reported a 4.1% extubation failure rate within 48h in 3.193 infants and children. Extubation failures occurred in younger patients (median age of 6.5 months vs 21.3 months), with longer

durations of intubation, PICU and hospital stays, but no difference in mortality. One algorithm utilized for us in the unit (Fig. 1) is demonstrated.

## 6. Extubation Predictive Factors

The ideal moment for extubation of children receiving MV remains subjective, and may be based on weaning protocols, ventilatory indices, or both. Extensive efforts have been made to identify predictors of extubation success in pediatrics [65]. Several criteria, such as minute ventilation ( $V_E < 10$  L/min), maximal inspiratory pressure (MIP)  $< 50$  cmH<sub>2</sub>O, RSBI, and load/force balance (LFB), have been used to make decisions about the ideal time to extubate adult and pediatric patients [4, 13, 69]. However, studies about the use of some of these extubation predictors in pediatrics and neonatology, particularly RSBI, showed inconclusive results [7-9, 65, 70]. Parameters such as  $V_T$ ,  $V_E$ , RSBI, vital capacity and MIP, are often used in ICUs to support the decision to extubate. The following parameters may be used

to support the decision to extubate: respiratory rate (RR in incursion per minute- ipm) according to age (20-60 ipm  $< 6$  months; 15-45  $< 2$  years; 15-40  $< 5$  years; 10-35  $< 5$  years);  $V_T$  of 6-8ml/kg; RSBI (RR/  $V_T$  adjusted to weight)  $< 6.5$  ipm/ml/Kg; vital capacity  $> 10-15$  ml/kg; MIP  $> 30$  cmH<sub>2</sub>O.

Other parameters may also be used as aids in MV weaning and in making the decision about extubation, such as: occlusion pressure (P 0.1 or P 100), work of breathing (WOB =  $\int$  pressure x volume), esophageal ( $P_e$ ) and transdiaphragmatic pressure, electromyographic activity of diaphragm, physiological dead space, gastric tonometry ( $P_gCO_2 - PaCO_2$  gradient), and others. However, these parameters demand the use of special measurement techniques, which are not often found routinely for bedside examinations, and are indicated for specific cases (when MV weaning is complicated or in cases of many weaning failures).

The parameters that evaluated the capacity of airway protection [71] are easily observed and provide practical and

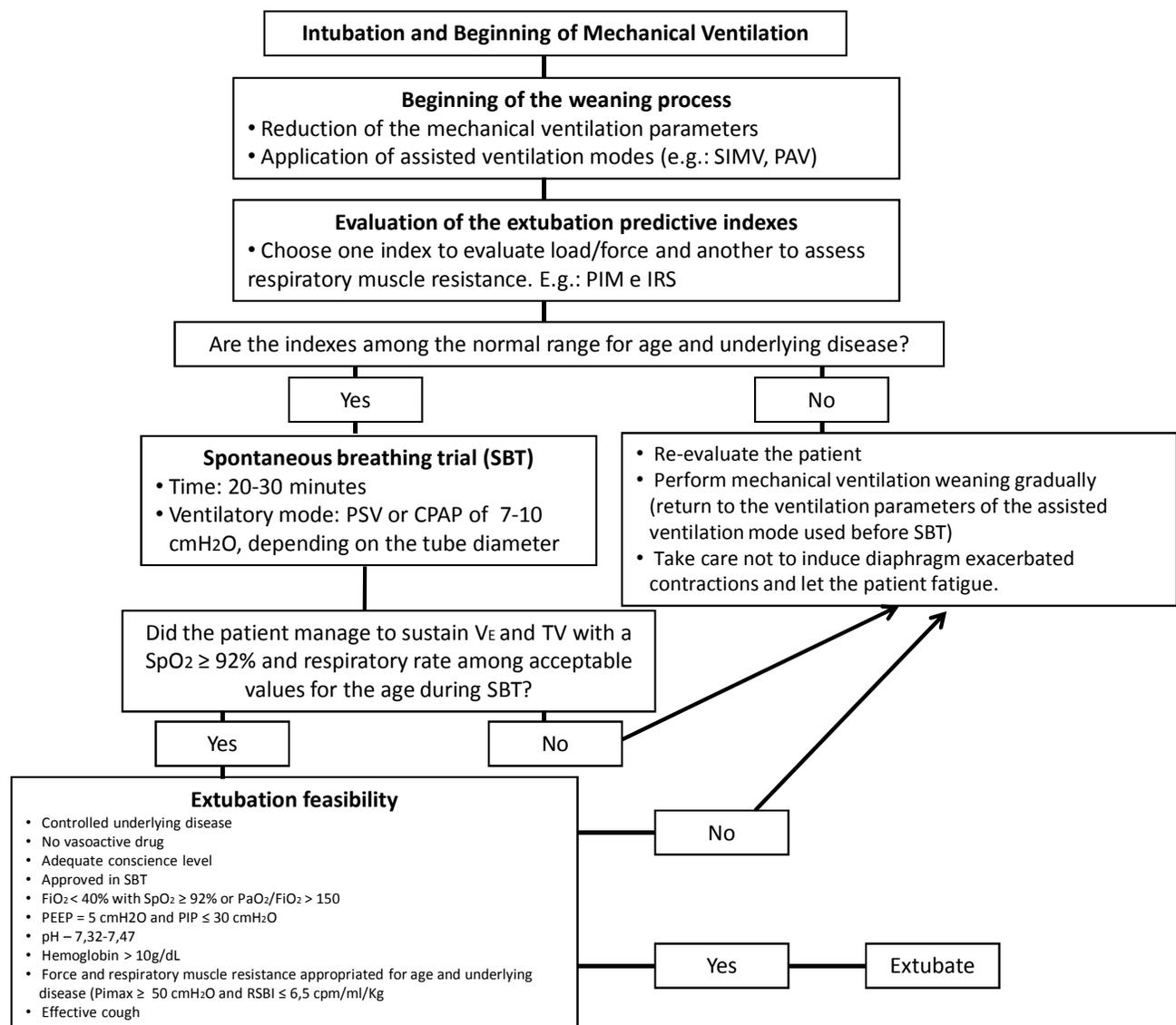


Fig. (1). Weaning and extubation algorithm.

rapid support measured at bedside weaning and extubation decisions: maximum expiratory pressure (MEP), peak expiratory flow rate, cough reflex (response to stimulation with an aspiration catheter); cough efficacy; secretion volume; frequency of tracheal aspiration, and Glasgow coma score.

Some indices may be used to evaluate the progression of MV weaning and as predictors of extubation success. The indices listed below are easily measured by the bedside and have been used frequently in adult, pediatric and neonatal ICUs in several hospitals around the world: SBT [3, 15]; MIP,  $P_{0.1}/P_{100}$  [2]; IP/MIP [11]; association of IP/MIP and RSBI [11];  $RSBI = RR/ V_T$  [71, 72];  $RSBI = (RR/ V_T / \text{weight})$  [4];  $RSBI \text{ times } P_{0.1}$  (4, 22);  $CROP = (C_{dyn} \times MIP \times (PaO_2/PAO_2))/RR$  (2); Pressure-time product:  $PTP = (PTP/\text{respiratory cycle time})/MIP$  (4, 12); Tension-time index 1 (TT1) and tension-time index 2 (TT2) [4, 12, 73, 74]; Simplified weaning index (SWI) [75].

### **6.1. Peak Maximum Inspiratory Pressure (MIP), $P_{0.1}/P_{100}$ Ratio, Combined PI/MIP and RSBI**

The clinical progression of pulmonary disease is affected by acute or chronic inflammation of the airways and by nosocomial infections, to which children and infants receiving MV are exposed. These infections predispose to gas trapping and changes in compliance of the ventilatory system, with an increase of WOB and a decrease in the strength of inspiratory muscles [76]. The strength of ventilatory muscles may be measured using a compound gauge, a simple and practical noninvasive method. This test may be performed with intubated or tracheotomized patients ventilating spontaneously. To perform it in pediatric patients receiving ventilatory support, the gauge should be connected to the endotracheal tube or the tracheotomy, and the head of the bed should be raised to 30 degrees. The operator should wait until the child makes three inspiratory and three expiratory efforts. The highest of the three values should be recorded for each ventilatory phase (inspiratory and expiratory). The test should be performed in 15 to 45 seconds. The values recorded should be compared with normal parameters using equations for predicted values [77].

The first number seen in the gauge, in one second is called occlusion pressure ( $P_{1.0}$ ) and can be used to calculate  $P_{1.0} / P_{100}$ . The combination of mean airway pressure [ $MAP = \{IP - PEEP\} \times [IT / (ET + IT)] + PEEP$ ], MIP and RSBI is called load/strength ratio [ $LSR = 15 \times (3 \times MAP) / (MIP + 0.03) \times RSBI - 5$ ]. It was created and first used for adult patients by Vassilakopoulos T *et al.* (2006) [11] and for pediatric patients by Johnston C *et al.* [13].

### **6.2. Rapid Shallow Breathing Index (RSBI) in Pediatrics and the $RSBI-P_{1.0}$ Product**

MV weaning failure is the result of the imbalance between the capacity of the muscles involved in ventilatory mechanics and the ventilatory demand.  $RR/V_T$  adjusted to weight in kilograms, that is, the pediatric RSBI [4] during spontaneous ventilation increases when there is such imbalance. The RSBI is one of the indices that can be used to identify which patients will have a successful extubation [4, 5, 9, 13, 32].

Previous clinical studies [5, 72, 78-80] with adult patients showed that the RSBI has excellent sensitivity and moderate specificity. Specificity for postoperative patients is similar to that found for clinical patients.

The capacity of the RSBI and the  $RSBI-P_{0.1}$  product to predict extubation success or failure has been analyzed for adult, pediatric and neonatal patients [4, 23, 32, 79-81]. Studies conducted with pediatric and neonatal populations revealed moderate sensitivity and low specificity to predict failure or success using the RSBI [4, 13, 23, 32, 81]. Some hypotheses have been raised to explain this fact, such as the heterogeneity of samples (age, weight, variable vital signs, baseline disease), the type of study design, the characteristics that are inherent to tracheal intubation (endotracheal intubation duration, diameter of endotracheal tube, number of intubation attempts, use of endotracheal tube cuff) and MV features (MV duration, ventilatory mode, patient-ventilator asynchrony).

Most studies with pediatric patients, which showed that the RSBI is not a good extubation predictor, were conducted with patients of different age groups, with different diagnosis and different endotracheal intubation durations. However, when demonstrating that factors such as greater gestational age, postnatal age, intubation time, and birth weight were associated with extubation success or failure [4, 9, 32, 61, 82-85], Baumeister BL *et al.* [7] adjusted RSBI and dynamic compliance to infant weight. In their study, a 19% extubation failure rate was found at 24 hours. Khan N *et al.* (1996) [8] studied 208 children and used the same method to find a 10% extubation failure rate after 48 hours. Since then, a cut-off point for the RSBI lower than or equal to 6.5 ipm/ml/kg has been accepted to predict extubation success in pediatric patients, but its specificity is low (70%) [32].

### **6.3. CROP Index**

This index uses data about dynamic compliance ( $C_{dyn}$ ),  $RR$ , alveolar-arterial oxygen gradient ( $PaO_2/PAO_2$ ) and MIP [68]. Values greater than 13 ml/cmH<sub>2</sub>O/ipm are predictive of extubation success for adult patients (81% sensitivity and 57% specificity) [71]. In pediatrics, the calculated CROP value should be adjusted to weight in kilograms, and the cut-off point for extubation success is 0.15 ml/kg/cmH<sub>2</sub>O/ipm [8].

### **6.4. Pressure-Time Product (PTP)**

After  $V_T$  and inspiratory time are defined, the intrinsic (elastic and frictional) properties of the ventilatory system define the pressure generated by the ventilatory effort, such as the WOB [85]. A cross-sectional study [86] measured the PTP for 31 adult patients with chronic obstructive pulmonary disease; 14 patients that tolerated the SBT and were extubated had PTP lower than 50 cmH<sub>2</sub>O/Kg/s. The PTP predicted extubation failure in that sample ( $P=0.001$ ). Another cross-sectional study with 90 pediatric patients [13] with a diagnosis of acute viral bronchiolitis detected a cut-off point of 0.50 cmH<sub>2</sub>O/kg/s for PTP as a predictor of extubation success, with 94% sensitivity and 100% specificity according to ROC analysis. In the study conducted by Noizet *et al.* (2005) [4], extubation success was found for patients with  $PTP \leq 0.08$  cmH<sub>2</sub>O/kg/s. This index has not been studied in neonatology so far.

### 6.5. Tension-Time Index (TTI)

Two formulas can be used to calculate TTI (TTI<sub>1</sub> and TTI<sub>2</sub>). MIP, inspiratory time and respiratory cycle time (RCT) are used to calculate TTI<sub>1</sub> [73, 74]. In pediatrics, the cut-off point to predict extubation success is 0.02 cmH<sub>2</sub>O/ml/min [4]. MAP, MIP, inspiratory time and RCT should be used to calculate TTI<sub>2</sub> [12]. Noizet *et al.* [4] found that values below the cut-off point of 0.05 cmH<sub>2</sub>O/ml/min were predictive of extubation success in a general sample of pediatric patients.

### 6.6. Simplified Weaning Index (SWI)

The SWI takes into consideration the resistance of ventilatory muscles and the capacity to maintain adequate gas exchanges. This index is a combination of the modified PTP [ $MPTP = (IT/RCT) \times (IP \times V_T) / (\text{spontaneous } V_T / MIP)$ ] and parameters that evaluate the efficacy of gas exchanges [ $GEE = (V_E \times PACO_2) / (\text{spontaneous } V_T \times 40)$ ]. The SWI has been studied in only 38 adult patients with chronic obstructive pulmonary disease. Results showed a trend towards extubation failure for patients with greater SWI values, but sensitivity and specificity to predict extubation failure were low [75].

## 7. Air Leak Test

Upper airway obstruction has been reported to be the cause of up to 37% of failed extubations in children [33]. The air leak test (ALT) is the minimum air pressure (usually < 20–25 cm H<sub>2</sub>O) required to produce an audible rush of air around the endotracheal tube when auscultated by a stethoscope placed directly over the larynx. It is commonly used to predict upper airway obstruction after extubation [33]. This test is commonly performed to detect upper airway swelling that may lead to postextubation stridor or upper airway obstruction. In a survey of pediatric critical care fellowship directors, 76% of the physicians routinely used this test prior to extubation, 95% reported that they would delay extubation if the ALT was 30 cmH<sub>2</sub>O or greater, and 60% would delay extubation to administer steroids [87].

The ALT has proven to be a sensitive predictor of postextubation complications in patients with identified upper airway pathologies, such as croup, airway trauma, or postsurgical airway reconstruction [88]. However, the predictive ability of the ALT is limited when applied to the general population. Mhanna *et al.* [89] demonstrated that a leak test of < 20 mmHg (27.2 cmH<sub>2</sub>O) was better at predicting stridor in children older than 7 years of age than in younger patients, but neither case had very good sensitivity. In a prospective, blinded study of 50 pediatric patients, Wrathney *et al.* [88] analyzed the change in airway leak as measured at the time of intubation and extubation as a predictor of extubation outcome. They found that measuring the leak serially over time was a better predictor of extubation success than of extubation failure (sensitivity 76%; specificity 44%; PPV 83%; NPV 33%) [88]. Recently, Wrathney *et al.* [90] demonstrated that an ALT pressure  $\geq$  30 cmH<sub>2</sub>O before extubation or for the duration of MV was common and did not predict an increased risk of extubation failure (NPV 18%). The authors concluded that pediatric patients that are clinically identified as candidates for an

extubation trial but do not have an endotracheal tube air leak may successfully tolerate removal of the endotracheal tube.

Based on available data, it would appear that the presence of an audible leak (to the ear, not the stethoscope) can be heard at < 25 cmH<sub>2</sub>O in a patient with the head in neutral position; this is probably good news. However, extubation should not be delayed if the test is negative and all other conditions for extubation are favorable [33].

Prophylactic systemic corticosteroids are frequently administered in an attempt to minimize postextubation stridor. In a published meta-analysis, Markovitz and Randolph [91] demonstrated a reduced incidence of postextubation stridor in both neonatal and pediatric patients treated with systemic corticosteroids in the peri-extubation period. There was also a trend towards decreased rates of reintubation in the corticosteroid groups, which was not statistically significant. The two pediatric trials included in this meta-analysis used dexamethasone 0.5 mg/kg (up to 10 mg) 6 to 12 h before extubation and then every 6 h for six total doses; their findings showed discrepancies.

The Cochrane database system review found that use of corticosteroids to prevent or treat stridor after extubation has not proven effective for neonates or children. However, given the consistent trend towards beneficial effects, this intervention does merit further study, particularly for high risk children or neonates. In adults, multiple doses of corticosteroids begun 12–24 hours prior to extubation appear to be beneficial for patients with a high likelihood of postextubation stridor [92].

## 8. Net Fluid Balance

Volume overload frequently occurs during treatment of the systemic inflammatory response syndrome precipitated by severe infection, pancreatitis, major surgery, or other events. This extra volume will lead to decreased functional residual capacity of the lungs and alveolar collapse. This is associated with a ventilation/perfusion mismatch, which requires an increase in the PEEP to keep the alveoli opened and maintain good oxygenation. The mobilization of such fluid usually happens upon resolving the systemic inflation, and may be increased by diuretics. For the adult patient with acute respiratory distress syndrome, acute pulmonary edema or congestive heart failure, fluid restrictive management strategies have proven successful, leading to improved survival and a higher number of ventilator-free days [88]. The appropriate fluid management strategy for pediatric patient is still controversial.

Randolph *et al.* [93] assessed the effect of cumulative fluid intake minus output as a predictor of weaning duration and extubation outcome. The authors found that cumulative intake-output did not predict the duration of weaning or the extubation outcome. However, other studies showed that a decreased fluid balance was associated with higher survival rates among pediatric patients that had multiple-organ dysfunction [88].

## 9. Other Predictors

The following problems found in MV patients will affect either the capacity of or the demand on the respiratory

system. These include: hemodynamic instability, acid–base disorders, electrolyte disturbances, altered mental status, and decreased respiratory muscle function. Electrolyte disturbances during weaning have been studied extensively. It has been shown that hypophosphatemia, hypocalcemia, hypomagnesemia, and hypokalemia reduce muscle contractility and affect weaning. These disturbances must be corrected before weaning attempts [94].

Neurological deficit secondary to brain injury may impose quite a challenge as to the optimal time for weaning and/or extubation. Namem *et al.* [95] found that patients with successful extubation had a GCS score  $\geq 8$ . A GCS  $\geq 10$  has been recently found to be a prerequisite to successful extubation [96].

Recent studies with adult patients have found that secretion burden and cough strength are important predictors of extubation outcome [97, 98]. Khamiees *et al.* [97] evaluated the cough strength on command (0 to 5) and amount of endotracheal secretions (none to abundant) in patients passing SBT. Patients were then asked to cough onto a white card test. Patients with weak (grade 0 to 2) coughs and abundant secretions were more likely to fail extubation. Negative white card test also predicted failed extubation.

Fatigue of patients undergoing weaning from MV is a major factor in failure to wean. Several studies using electromyogram (EMG) diagnosis showed that diaphragmatic fatigue occurs in the first day in all patients on MV, and those that recovered were extubated successfully [94]. The patients that continued to feel fatigued needed reintubation. It is not known how much diaphragmatic strength is needed to sustain spontaneous breathing, or how long the resting period should be to recover from diaphragmatic fatigue. It is possible that one day of rest, fully supported by MV, may be enough for diaphragmatic recovery [94].

Malnutrition causes reduction of muscle mass, endurance, and muscle strength. It also causes decreased immunity, predisposing the patient to further infections. Nutrition repletion in critically ill patients resulted in improved respiratory forces and easier weaning [94].

## CONCLUSION

The current pediatric literature shows that the science of ventilator weaning and extubation remains undetermined. Indeed, no optimal weaning method has resulted in more rapid and successful extubation. Protocol-based approaches to weaning could have potential benefits in advancing readiness to extubate, but have not shown significant outcome differences so far. After the analysis of clinical markers of extubation success, we found that no specific physiological indicator has predicted extubation success in children. However, a daily trial of readiness to extubate is the most effective technique to determine likelihood of success. Upper airway obstruction is the primary cause of extubation failure in most pediatric studies. Therefore, efforts focused on decreasing airway edema before extubation should be considered. Corticosteroids seem to be beneficial for infants and children, but definitive evidence of efficacy is lacking.

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